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4/26/02



Attorney's Docket No. 003982.P002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Patent Application of: )  
Sudhir Mohan, et al. )  
Application No.: 09/312,255 )  
Filed: May 14, 1999 )  
For: APPLICATIONS AND SERVICES )  
SUPPORTED BY A CLIENT-SERVER )  
INDEPENDENT INTERMEDIARY )  
MECHANISM )

Examiner: Not Yet Assigned

Art Unit: 2176

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APR 12 2002  
Technology Center 2100

Assistant Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

FIRST CLASS CERTIFICATE OF MAILING

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Pamala Stephenson  
(Signature of person mailing paper or fee)

4-4-02  
(Date signed)

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

  X   37 C.F.R. §1.97(b).

       37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

       A statement pursuant to 37 C.F.R. §1.97(e) or

       A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

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- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated:   4/4  , 2002

  
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003982.P002



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Date

Technology Center 2100

Serial/Patent No.: 09/312,255

Filing/Issue Date: May 14, 1999

Client: Pivia

Title: APPLICATIONS AND SERVICES SUPPORTED BY A CLIENT-SERVER INDEPENDENT INTERMEDIARY MECHANISM

BSTZ File No.: 003982.P002

Atty/Secty Initials: JAS/pjs

Date Mailed: 4-4-02

Docket Due Date: \*\*\*\*\*

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- |                                                                                                |                                                                                          |                                          |
|------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------|------------------------------------------|
| <input type="checkbox"/> Amendment/Response (____ pgs.)                                        | <input type="checkbox"/> Express Mail No.: _____                                         | <input type="checkbox"/> Check No. _____ |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate)                              | <input type="checkbox"/> _____ Month(s) Extension of Time                                | Amt: _____                               |
| <input type="checkbox"/> Application - Utility (____ pgs., with cover and abstract)            | <input checked="" type="checkbox"/> Information Disclosure Statement & PTO-1449 (4 pgs.) | <input type="checkbox"/> Check No. _____ |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (____ pgs.)                   | <input type="checkbox"/> Issue Fee Transmittal                                           | Amt: _____                               |
| <input type="checkbox"/> Application - Rule 1.53(b) Divisional (____ pgs.)                     | <input type="checkbox"/> Notice of Appeal                                                |                                          |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (____ pgs.)                            | <input type="checkbox"/> Petition for Extension of Time                                  |                                          |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal (____ pgs.)                | <input type="checkbox"/> Petition for _____                                              |                                          |
| <input type="checkbox"/> Application - Design (____ pgs.)                                      | <input checked="" type="checkbox"/> Postcard                                             |                                          |
| <input type="checkbox"/> Application - PCT (____ pgs.)                                         | <input type="checkbox"/> Power of Attorney (____ pgs.)                                   |                                          |
| <input type="checkbox"/> Application - Provisional (____ pgs.)                                 | <input type="checkbox"/> Preliminary Amendment (____ pgs.)                               |                                          |
| <input type="checkbox"/> Assignment and Cover Sheet                                            | <input type="checkbox"/> Reply Brief (____ pgs.)                                         |                                          |
| <input checked="" type="checkbox"/> Certificate of Mailing                                     | <input type="checkbox"/> Response to Notice of Missing Parts                             |                                          |
| <input type="checkbox"/> Declaration & POA (____ pgs.)                                         | <input type="checkbox"/> Small Entity Declaration for Indep. Inventor/Small Business     |                                          |
| <input type="checkbox"/> Disclosure Docs & Orig & Copy of Inventor's Signed Letter (____ pgs.) | <input type="checkbox"/> Transmittal Letter, in duplicate                                |                                          |
| <input type="checkbox"/> Drawings: _____ # of sheets includes _____ figures                    | <input type="checkbox"/> Fee Transmittal, in duplicate                                   |                                          |

☒ Other: Notice of Related Pending Applications  
and a copy of 12 cited references

2182

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PATENT

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In re Patent Application of:

Sudhir Mohan, et al.

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MECHANISM

Assistant Commissioner for Patents  
Washington, D.C. 20231

Examiner: Not Yet Assigned

Art Unit: 2182

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**Technology Center 2100**

Notice of Related Pending Applications

Applicant wants to put the Examiner on notice that the following pending U.S. patent application may disclose and/or claim subject matter relating to the above captioned application:

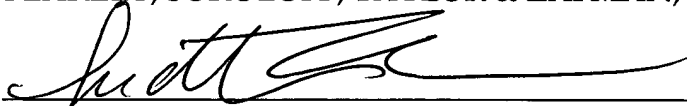
U.S. Patent Application Serial no. 09/312,308, entitled CLIENT-SERVER INDEPENDENT INTERMEDIARY MECHANISM, filed on May 14, 1999, and assigned to the same assignee as the above-identified application.

If there are any charges, please charge Deposit Account No. 02-2666.

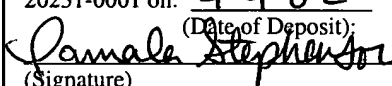
Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN, LLP

Dated: 4/4, 2002

  
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 (Signature)	<u>4-4-02</u> (Date signed)